

## Appendix 10: Counter-Fraud and Corruption Q1 Summary

### Fraud investigation

During Q1, six new cases were opened (2020/21 Q1: seven new cases) and six cases were closed. Of the six newly opened cases, four allegations related to suspected fraud within TfL products and discounts. Four financial investigations were conducted on four subjects and nine bank accounts. No Suspicious Activity Report (SAR) checks were undertaken during the quarter.

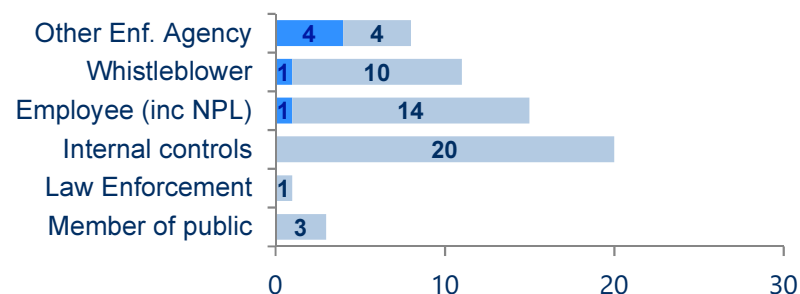
### Fraud prevention

- Members of the Counter-fraud and Corruption team conducted a series of targeted fraud awareness and engagement sessions with employees from Contact Centre Operations and the Social Media team (100+ attendees). The sessions, which were organised after an employee within the same business area became the victim of a 'phishing' scam, were designed to raise awareness of fraud, bribery and corruption both at work and at home. Attendees were provided with useful links to the 'Fraud Awareness' Yammer group feed, the recently released Bribery Act e-learning course and TfL's 'Code of Conduct' for employees.

### Cases by directorate

Investigations	B/F	New	Closed	C/F
LU	30	3	3	30
Surface Transport	6	1	1	6
CCT	8	0	0	8
Crossrail	2	1	0	3
Major Projects	1	0	0	1
Commercial Dev.	1	0	0	1
General Counsel	1	0	1	0
Human Resources	1	0	0	1
Finance	2	1	1	2
<b>Total</b>	<b>52</b>	<b>6</b>	<b>6</b>	<b>52</b>

### Cases by source New and Brought Forward



### Cases by type New and Brought Forward



### Significant closed cases

#### Case 17-721 Selling topographical test answers

In early 2018, the Counter-fraud and Corruption team received information that a number of private hire applicants, who had failed their first topographical test, had been approached by a person who knew they had failed and offered to help them cheat the exam in exchange for money. An investigation identified a TfL employee, working within the Taxi and Private Hire team, and her brother had committed a serious fraud offence. The TfL employee was subsequently dismissed. The case was referred to the Metropolitan Police and, following the execution of a warrant, both were arrested and charged with conspiracy to defraud TfL. A CPS decision not to charge any of the applicants was taken in the 'public interest'. On 13 May 2021 at Croydon Crown Court, following earlier guilty pleas, Her Honour Judge Charles sentenced the ex-TfL employee to 18 months imprisonment and her brother to 14 months imprisonment. Both sentences were suspended for two years. In addition to the terms of imprisonment handed down, both defendants were also sentenced to complete unpaid community work, adhere to a three month curfew order between 10pm and 7am and pay £2,800 of court costs.